



**The On-line Regional Training Course on Sampling Gear Design
for Onboard Fisheries Resource Survey**

31 August - 4 September 2020

CpUE standardization

Prof. Tuantong Jutagate

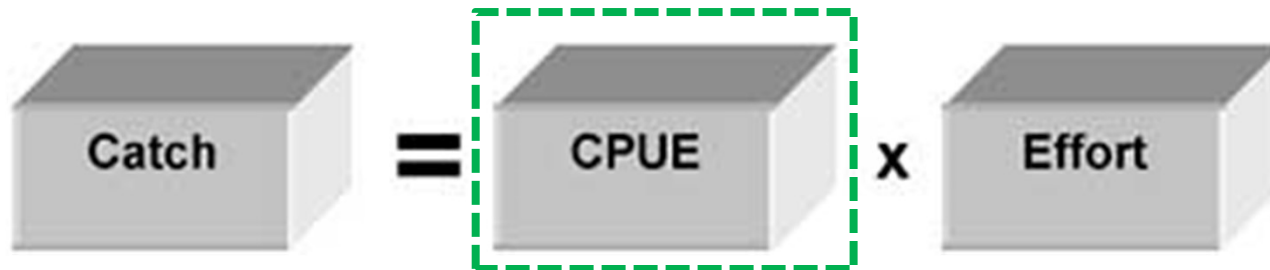
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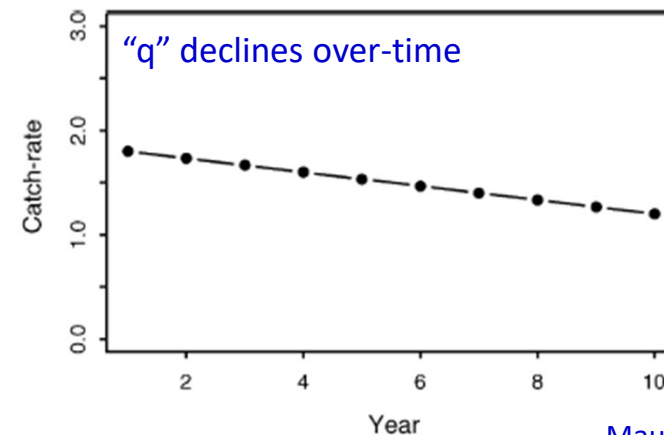
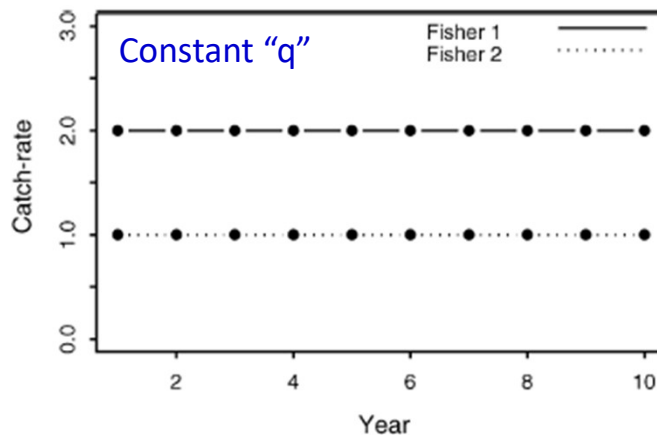
What is catch per unit of (fishing) effort, CpUE? (1)

- **CpUE (aka catch rate):** the term for expressing how much fish (all or a single species) is caught by a unit effort
- **Catch:** weight of all (or a single) species taken within (a) a limited geographical area or stratum, (b) a given reference period (i.e. a calendar month) and (c) a specific boat/gear category. In some cases, catch is expressed in “number of individual”
- **Effort:** a measure of the amount of fishing unit, such as number of fishing hour/day, number of fishing gear etc., used for catch



What is catch per unit of (fishing) effort, CpUE? (2)

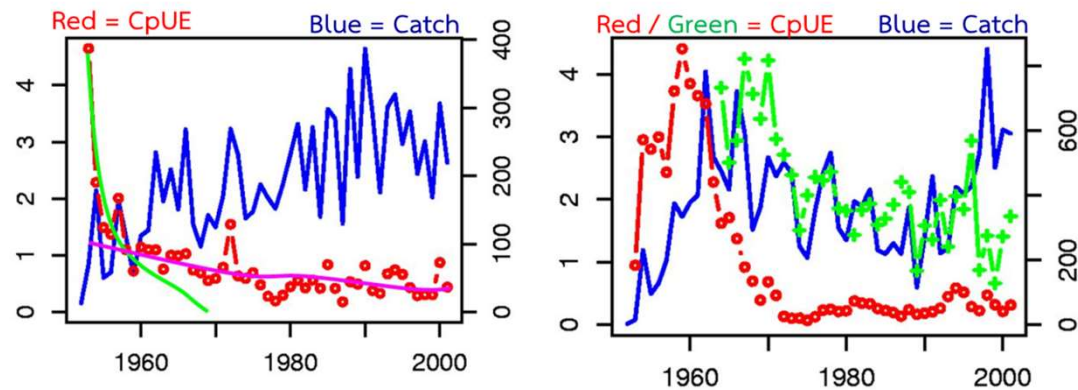
- CpUE is simply calculated as $\frac{\text{Catch } (C)}{\text{Effort } (E)}$ from fishery –dependent or –independent data
- CpUE can be also calculated by $\frac{C}{E} = qN$, where q is fishing gear efficiency, i.e. catchability coefficient, and N is population size, which sometimes used Biomass (B).
- CpUE varies according to areas and times fished as well as fishing gear efficiency



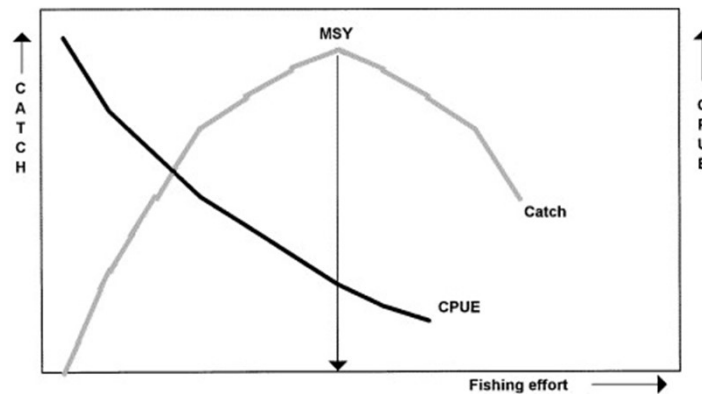
Maunder and Punt (2004)

Using of CpUE (1)

- CpUE is commonly used as a relative index of stock (or population) abundance



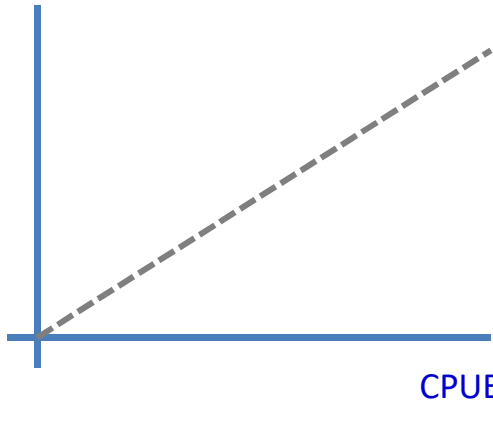
- CpUE is also used as the input variable for stock assessment models, e.g. surplus production model



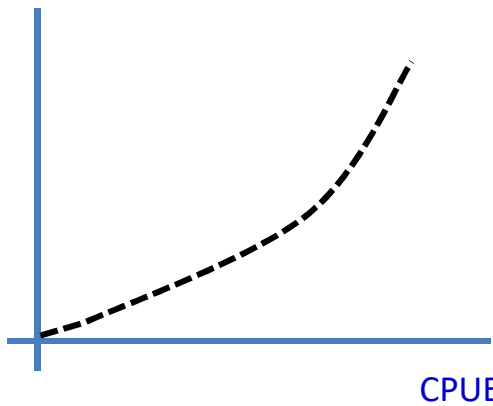
Using of CpUE (2.1)

- As CpUE is proxy to abundance, it can be also used to estimate the fish abundance

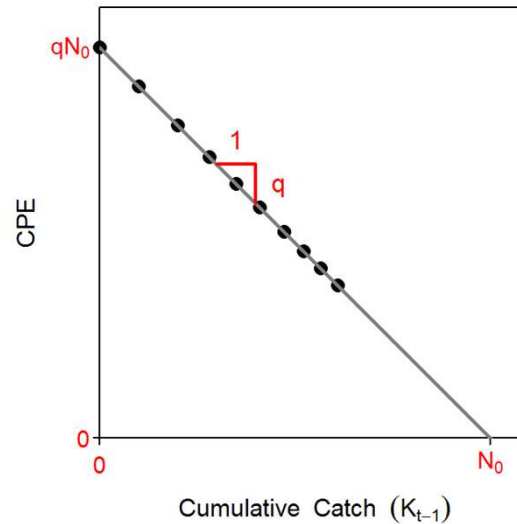
Abundance



Abundance



CPUE →



$$CpUE_t = qN_0 - q \sum C_{t-1}$$

where;

N_0 = estimated population size,

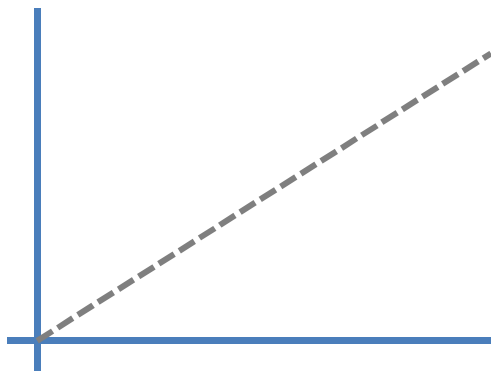
q = constant, i.e. slope of the regression,

$\sum C_{t-1}$ = cumulative catch at time interval t-1

Using of CpUE (2.2)

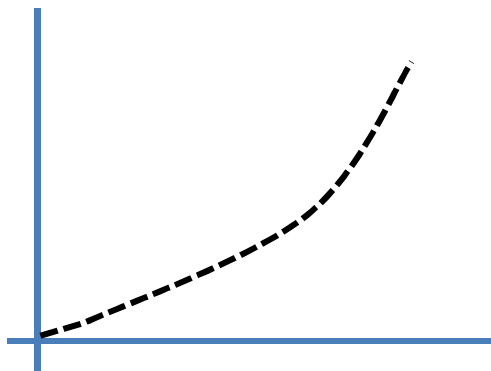
- As CpUE is proxy to abundance, it can be also used to estimate the fish abundance

Abundance



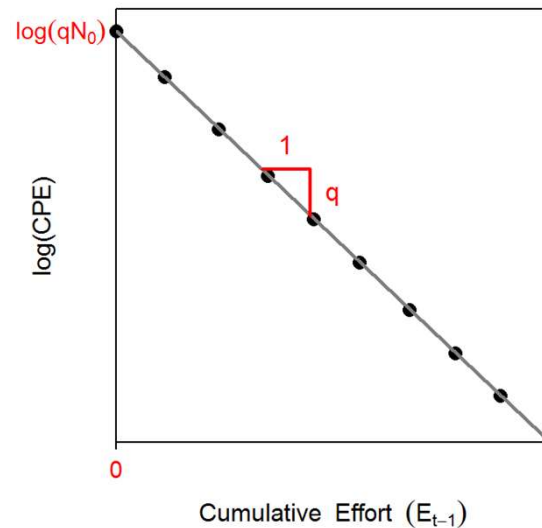
CPUE

Abundance



CPUE

$$\log(CpUE_t) = \log(qN_0) - q \sum E_{t-1}$$



where;

N_0 = estimated population size,

q = constant, i.e. slope of the regression,

$\sum C_{t-1}$ = cumulative effort at time interval t-1



<https://www.youtube.com/watch?v=yLXEYWZnUgA>

CPUE standardization

Difference in gears (or vessels) efficiencies (1)

Catch (C) = catchability (q) * Effort (f) * Biomass (B)

$$C_{i,t} = q_i E_{i,t} B_t$$

So, the catch per unit effort (CpUE; C/f) is

$$\text{CpUE} = q * B$$

and

$$(\text{CpUE})_{i,t} = q_i * B_t$$

where

$(\text{CpUE})_{i,t}$ = Catch per unit effort of vessel type i at time t

q_i = catchability of vessel type i

B_t = Biomass at time t

CPUE standardization

Difference in gears (or vessels) efficiencies (1)

Example of CpUE standardization for 2 fleets

i	Fleet 1	Fleet 2	q1/q2
q	0.00015	0.00045	3

Year	Stock size	Fleet 1			Fleet 2			Fleet 1 & 2 combined		
		Effort	Catch	CPUE	Effort	Catch	CPUE	Effort	Catch	CPUE
2001	10000	400	600	1.50	100	450	4.50	500	1050	2.10
2002	12000	370	666	1.80	130	702	5.40	500	1368	2.74
2003	14000	340	714	2.10	160	1008	6.30	500	1722	3.44
2004	16000	310	744	2.40	190	1368	7.20	500	2112	4.22
2005	16000	280	672	2.40	220	1584	7.20	500	2256	4.51
2006	16000	250	600	2.40	250	1800	7.20	500	2400	4.80

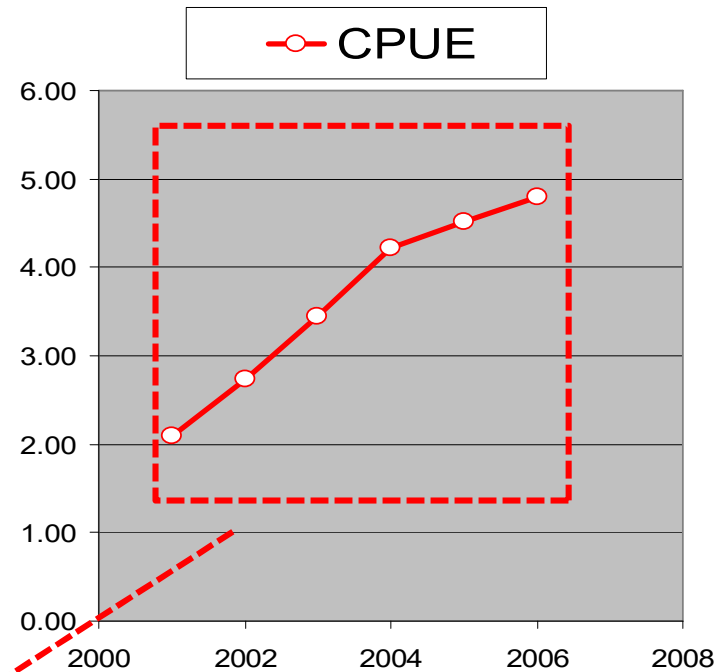
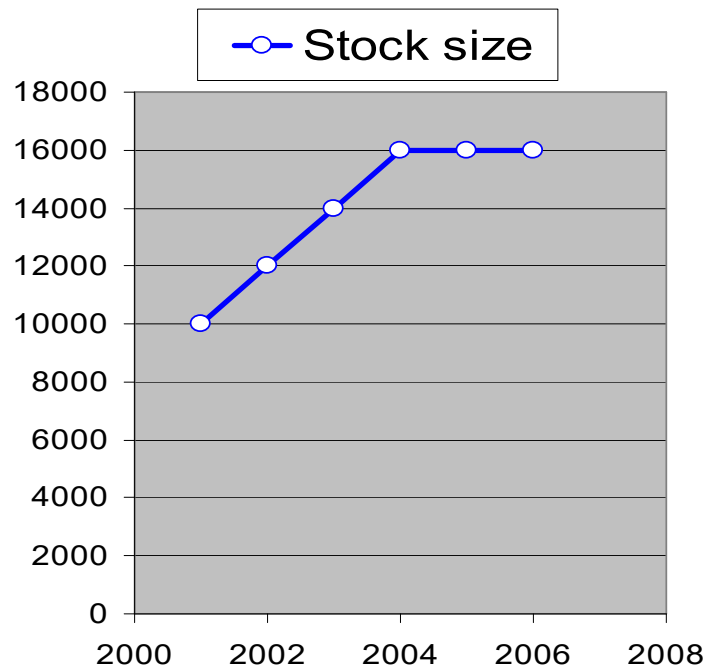
Condition:

- Six (6) years CpUE data from 2 fishing fleets (i.e. gears)
- known information stock size, effort and catchability
- Effort in Fleet 1 declines while it increases in fleet 2. Total effort remains constant

Example from fishvice.hafro.is/lib/exe/fetch.php/crfm:03biostatistics04c.ppt

CPUE standardization

Difference in gears (or vessels) efficiencies (1)



This is incorrect because you are ignoring the different catchabilities of fleet 1 and 2 and would lead wrong conclusion about biomass development.

CPUE standardization

Difference in gear (or vessel) efficiencies (1)



So, how to standardize? (1)

- Estimate the relative changes in biomass:
 - relative to the first year in the data series:

$$B_t = \alpha_t B_1$$

B_t – biomass at time t

B_1 – biomass in year 1

α_t – scaling factor

where:

$$\alpha_t = B_t / B_1$$

and hence $\alpha_1 = 1.00$

Year	Stock size	Relative stock size
2001	10000	1.00
2002	12000	1.20
2003	14000	1.40
2004	16000	1.60
2005	16000	1.60
2006	16000	1.60

CPUE standardization

Difference in gear (or vessel) efficiencies (1)

So, how to standardize? (2)

As from previous slide

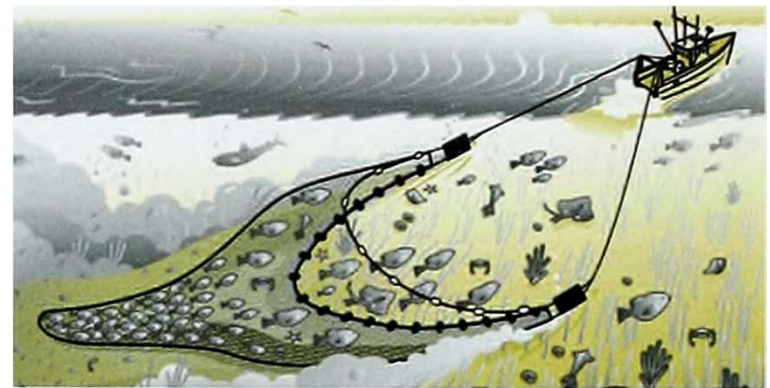
$$B_t = \alpha_t B_1$$

We also know that

$$CpUE_t = qB_t$$

then

$$\begin{aligned} CpUE_t &= qB_t \\ &= q\alpha_t B_1 \\ &= \alpha_t qB_1 \\ &= \alpha_t \underline{CpUE}_1 \end{aligned}$$



relative to the first year
of the data series ##

CPUE standardization

Difference in gear (or vessel) efficiencies (1)

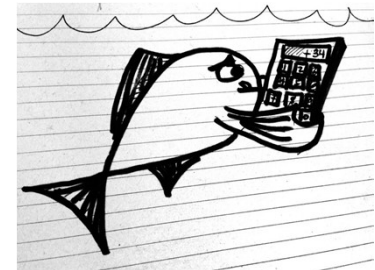
So, how to standardize? (3)

Therefore, in general for multivessel fisheries we can write

$$CpUE_{t,2} = \alpha_t CpUE_{t,1}$$

As we have 2 fleets with different catchability (q) (that harvest on the same stock)

$$\begin{aligned} CpUE_{1,t} &= q_1 B_t \quad ; \quad B_t = CpUE_{1,t} / q_1 \\ CpUE_{2,t} &= q_2 B_t \\ &= q_2 (CpUE_{1,t} / q_1) \\ &= (q_2 / q_1) CpUE_{1,t} \\ &= (q_2 / q_1) \alpha_t (CpUE_{1,1}) \\ &= \beta_{2|1} \alpha_t (CpUE_{1,1}) \end{aligned}$$



where, $\beta_{2|1}$ is the efficiency of fleet 2 relative to fleet 1.

CPUE standardization

Difference in gear (or vessel) efficiencies (1)

So, how to standardize? (4)

$$CpUE_{i,t} = \beta_i \alpha_t CpUE_{1,1}$$

where

i : fleet i

$CpUE_{i,t}$: CpUE of fleet i at time t

$CpUE_{1,1}$: CpUE of the 1st fleet in the 1st time period

β_i : The efficiency of fleet i relative to fleet 1

α_t : Relative abundance

To take into account measurement errors the statistical model becomes:

$$CpUE_{i,t} = \beta_i \alpha_t CpUE_{1,1} e^{\varepsilon}$$

Example from fishvice.hafro.is/lib/exe/fetch.php/crfm:03biostatistics04c.ppt

CPUE standardization

Difference in gear (or vessel) efficiencies (1)

So, how to standardize? (5)

$$CpUE_{i,t} = \beta_i \alpha_t CpUE_{1,1} e^\varepsilon$$

The error can be normalized by transformation

$$\ln(CpUE_{i,t}) = \ln(\beta_i) + \ln(\alpha_t) + \ln(CpUE_{1,1}) + \varepsilon_{t,i}$$

The equation can be re-written as

$$(CpUE_{i,t} / CpUE_{1,1}) = \beta_i \alpha_t e^\varepsilon$$

and

$$\ln(CpUE_{i,t} / CpUE_{1,1}) = \ln(\beta_i) + \ln(\alpha_t) + \varepsilon_{t,i}$$

CPUE standardization

Difference in gear (or vessel) efficiencies (1)

So, how to standardize? (5)

$$\ln(CpUE_{i,t} / CpUE_{1,1}) = \ln(\beta_i) + \ln(\alpha_t) + \varepsilon_{t,i}$$



Parameters			
Name	numeric	ln value	value
α_{2001}	2001	0.00	1.00
α_{2002}	2002	0.18	1.20
α_{2003}	2003	0.34	1.40
α_{2004}	2004	0.47	1.60
α_{2005}	2005	0.47	1.60
α_{2006}	2006	0.47	1.60
β_1	1	0.00	1.00
β_2	2	1.10	3.00

GLM model		observed			predicted
time (t)	vessel (i)	ln cpue	ln(α_t)	ln(β_i)	ln cpue
2001	1	0.00	0.00	0.00	0.00
2002	1	0.08	0.18	0.00	0.18
2003	1	0.29	0.34	0.00	0.34
2004	1	0.49	0.47	0.00	0.47
2005	1	0.46	0.47	0.00	0.47
2006	1	0.35	0.47	0.00	0.47
2001	2	0.98	0.00	1.10	1.10
2002	2	1.19	0.18	1.10	1.28
2003	2	1.39	0.34	1.10	1.44
2004	2	1.56	0.47	1.10	1.57
2005	2	1.50	0.47	1.10	1.57
2006	2	1.56	0.47	1.10	1.57

Example from fishvice.hafro.is/lib/exe/fetch.php/crfm:03biostatistics04c.ppt

CPUE standardization

Difference in gear (or vessel) efficiencies (1)

An alternative simply standardization (1)

- Developed by Beverton and Holt (1957), defining “a standard vessel” or “standard gear”
- Then, determining the relative fishing power of all other vessels by

$$RFP_i = \frac{C_i/E_i}{C_S/E_S}$$

- where
 - RFP_i is the relative fishing power for vessel i
 - C_i and C_S are the total catch by vessel i and the total catch by the standard vessel, respectively, during the period in which both the standard vessel and vessel i were in the fishery
 - E_i and E_S are the total days fished (or whatever measure of fishing effort is chosen) by vessel i and by the standard vessel, respectively, during the period in which both the standard vessel and vessel i were in the fishery

CPUE standardization

Difference in gear (or vessel) efficiencies (2)

An alternative simply standardization (2)

- The standardized catch rate for year t , I_t , is then

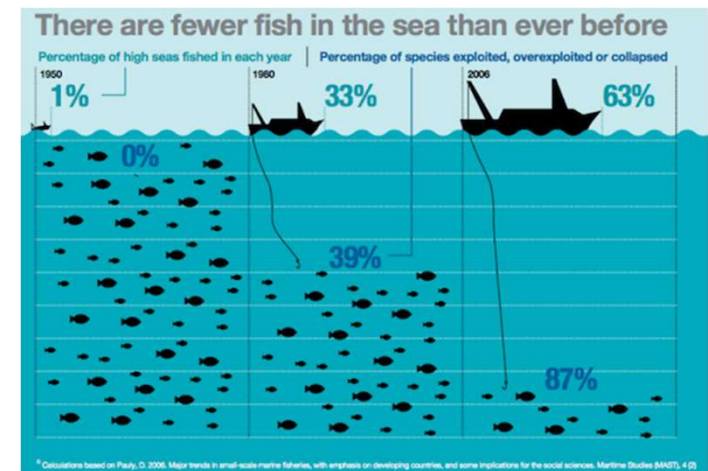
$$I_t = \frac{\sum_i C_{t,i}}{\sum_i (RFP_i E_{t,i})}$$

- where

- $C_{t,i}$ is the catch by vessel i in year t ,
- $E_{t,i}$ the number of days fished by vessel i in year t .

- **Disadvantages**

- not generalize easily to deal with multiple factors such as month and area
- not generalize easily when it is difficult to identify the “standard vessel”

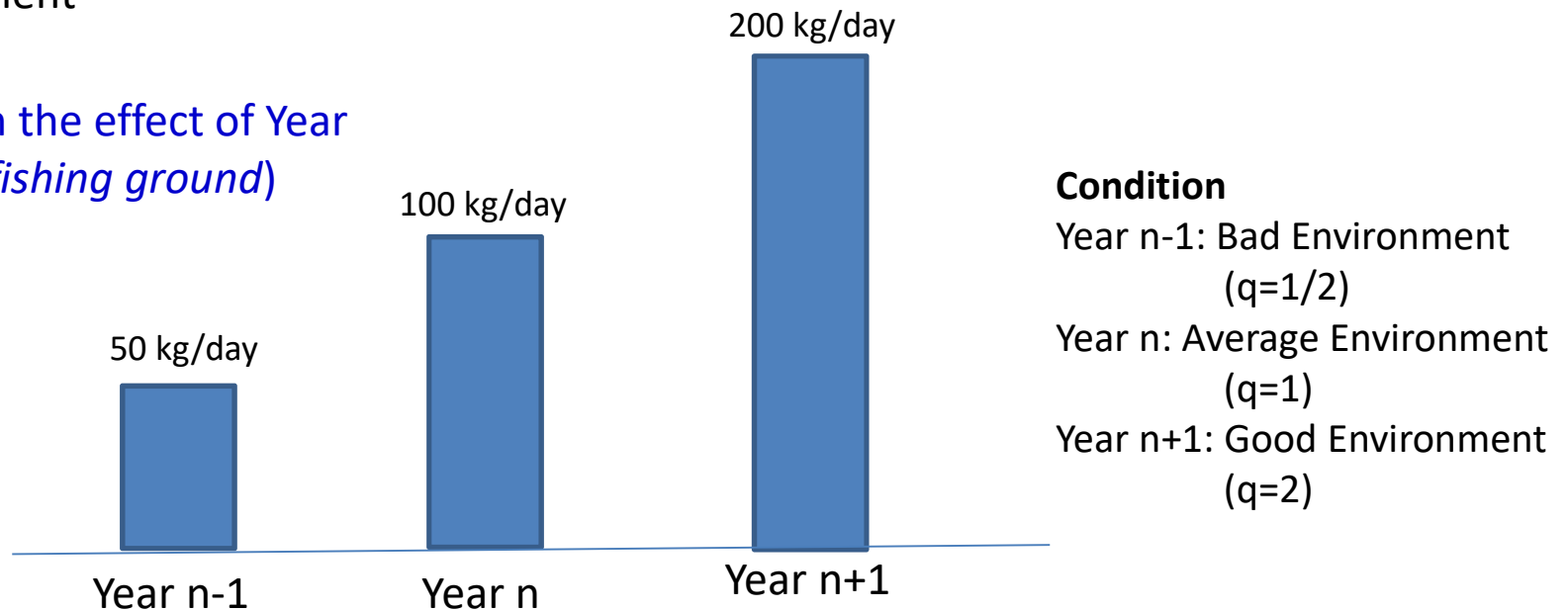


CPUE standardization

Effects by **spatio** – **temporal** differences (1)

- Not only the difference, or evolution, in fishing gears that affect CpUE
- Factors such as **fishing ground, zones, season and year** are also affect CpUE
- These factors, therefore, also produce bias in abundance estimation and stock assessment

Example on the effect of Year
(the same fishing ground)

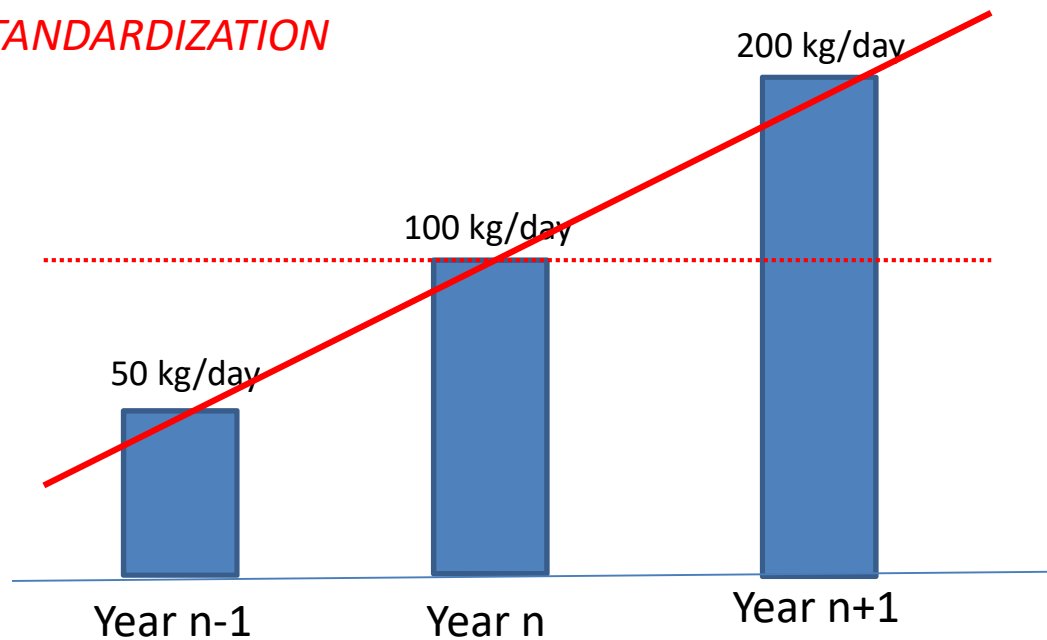


CPUE standardization

Effects by **spatio** – **temporal** differences (2)

Example on the effect of Year (*the same fishing ground*)

IF NOT STANDARDIZATION



Condition

Year n-1: Bad Environment
($q=1/2$)

Year n: Average Environment
($q=1$)

Year n+1: Good Environment
($q=2$)

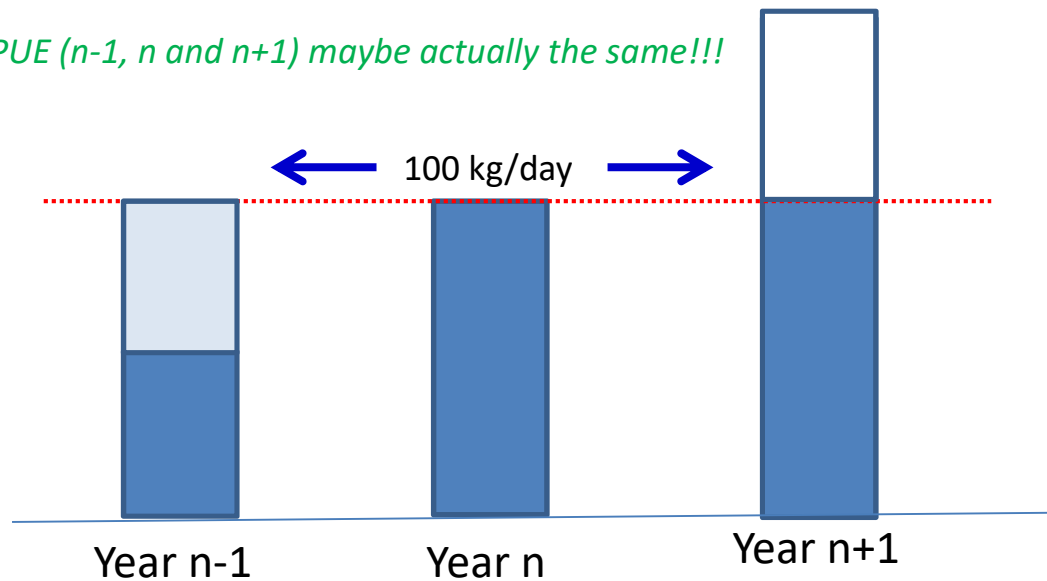
CPUE standardization

Effects by **spatio** – **temporal** differences (2)

Example on the effect of Year (*the same fishing ground*)

IF STANDARDIZATION

3 year of CPUE (n-1, n and n+1) maybe actually the same!!!



Condition

Year n-1: Bad Environment
($q=1/2$)

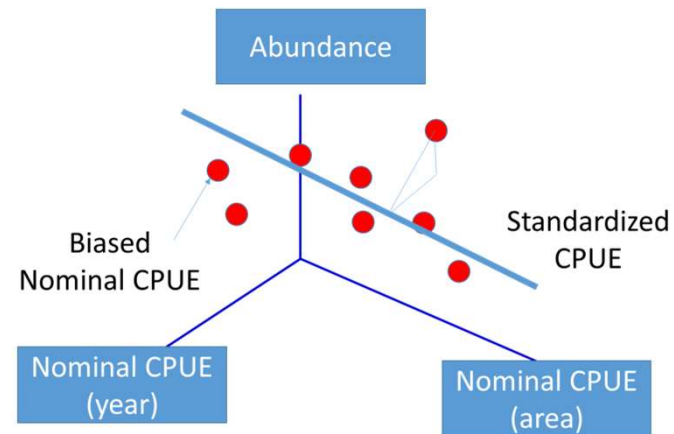
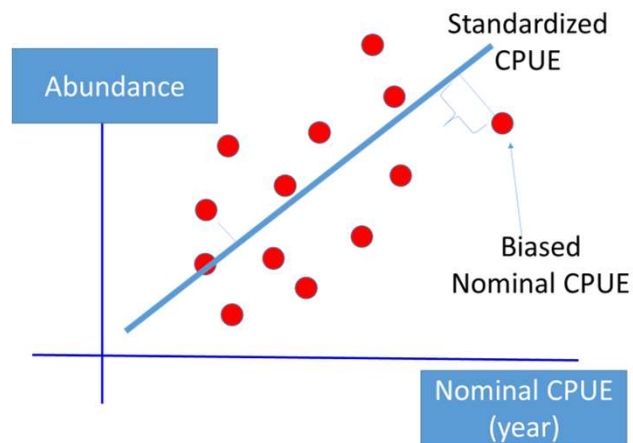
Year n: Average Environment
($q=1$)

Year n+1: Good Environment
($q=2$)

CPUE standardization

Generalized Linear Model (GLM) for CpUE standardization

- The GLM is a flexible generalization of ordinary linear regression that allows for response variables that have error distribution models other than a normal distribution.
- The GLM generalizes linear regression by allowing the linear model to be related to the response variable via a link function and by allowing the magnitude of the variance of each measurement to be a function of its predicted value.



CPUE standardization

Generalized Linear Model (GLM) for CpUE standardization

General model

$$\text{CpUE} = \text{mean} + \text{year} + \text{season} + \text{Area} + \text{Error}$$

Sometime CpUE is log-transformed, i.e. $\log(\text{CpUE} + 1)$ or $\log(\text{CpUE} + \text{constant})$

Example data

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T
1	Year	Q	A	CPUE		Year	Q	A	CPUE											
2	2011	4	2	0.43		2015	2	3	4.96											
3	2012	2	2	1.11		2015	2	1	5197.54											
4	2012	2	2	1.65		2015	2	1	70.4											
5	2012	2	3	6.7		2015	2	2	0.98											
6	2012	2	3	4.22		2015	2	2	3.86											
7	2012	2	3	10.82		2015	2	3	9.68											
8	2012	2	3	2.15		2015	2	1	3.97											
9	2012	3	3	3.3		2015	2	1	3.54											
10	2013	1	1	8.96		2015	2	2	1.52											
11	2013	1	2	1225.81		2015	2	2	1.92											
12	2013	1	3	0.75		2015	2	3	11.61											
13	2013	2	1	4.21		2015	2	1	27.35											
14	2013	2	1	1.67		2015	3	1	20											
15	2013	2	2	112.04		2015	3	2	788.8											
16	2013	2	2	8.12		2015	3	2	75.51											
17	2013	2	3	12.98		2015	4	3	36.74											
18	2013	2	3	1.59		2015	4	1	271.92											
19	2013	3	1	5.54		2015	4	1	233.07											
20	2013	3	1	990.65		2016	1	2	544.68											
21	2013	3	2	3.57		2016	1	2	488.3											
22	2013	3	2	19.76		2016	1	3	355.28											
23	2013	3	3	349.83		2016	1	1	275.4											
24	2014	1	3	139.21		2016	1	1	47.24											
25	2014	2	1	580.5		2016	2	2	996.2											
26	2014	2	1	17.56		2016	2	2	1411.47											
27	2014	2	2	26.39		2016	2	3	1550.58											
28	2014	1	2	2.02		2016	2	3	1307.47											
29	2014	2	3	1.68		2016	2	1	1175.64											
30	2014	3	3	4.05		2016	2	1	1252.95											
31	2014	4	1	556.03		2016	2	2	426.42											
32	2014	4	1	97.16		2016	2	2	759.43											
33	2014	4	1	2.59		2016	2	3	1080.11											
34	2015	3	2	1.16		2016	2	3	1331.53											
35	2015	1	2	95.43		2016	2	1	755.2											

CPUE standardization

Analyzing by R (example)

The screenshot displays the RStudio interface with a script named `script_standardize.R` open in the editor. The script performs the following steps:

```
1 ## Script for standardize
2
3 dat=read.table("CPUE.txt", header=T)
4 names(dat)
5 attach(dat)
6 fit <- glm(CPUE~factor(Year)+factor(Q)+factor(A),family=gaussian(link="identity"), data=dat)
7 summary(fit)
8 par(mfrow=c(2,2))
9 plot(fit)
10 pred <- predict(fit, type="response")
11 pred
```

The Environment pane on the right shows the `Global Environment` with the message "Environment is empty". The Console pane at the bottom displays the R startup message and the prompt `> |`.

The Windows taskbar at the bottom shows the search bar with the text "Type here to search", several application icons, and the system tray with the date "25/8/2563" and time "11:39".

CPUE standardization

Recommended reading



Available online at www.sciencedirect.com



Fisheries Research 70 (2004) 141–159



www.elsevier.com/locate/fishres

Standardizing catch and effort data: a review of recent approaches

Mark N. Maunder^{a,*}, André E. Punt^b

^a *Inter-American Tropical Tuna Commission, 8604 La Jolla Shores Drive, La Jolla, CA 92037-1508, USA*

^b *School of Aquatic and Fishery Sciences, University of Washington, Box 355020, Seattle, WA 98195-5020, USA*

Abstract

The primary indices of abundance for many of the world's most valuable species (e.g. tunas) and vulnerable species (e.g. sharks) are based on catch and effort data collected from commercial and recreational fishers. These indices can, however, be misleading because changes over time in catch rates can occur because of factors other than changes in abundance. Catch-effort standardization is used to attempt to remove the impact of these factors. This paper reviews the current state of the art in the methods for standardizing catch and effort data. It outlines the major estimation approaches being applied, the methods for dealing with zero observations, how to identify and select appropriate explanatory variables, and how standardized catch rate data can be used when conducting stock assessments.

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Keywords: Abundance; Catch; CPUE; Effort; GAM; GLM; GLMM



THANK YOU

Sources and References

<http://fishvice.hafro.is/lib/exe/fetch.php/crfm:03biostatistics04c.ppt>

<http://derekogle.com/NCNRS349/modules/Depletion/BKG.html>

<https://www.youtube.com/watch?v=yLXEYWZnUgA>

Maunder M.N., Punt A.E. (2004) Standardizing catch and effort data: a review of recent approaches, Fisheries Research 70: 141-159

Nishida T. (2018) course material on the SEAFDEC workshop on Stock/Risk assessments by ASPIC

Stamatopoulos, C. (2002) Sample-based fishery surveys: A technical handbook. FAO Fisheries Technical Paper. No. 425. FAO, Rome. 132p.